Diabetic cardiomyopathy: An expression of stage B heart failure with preserved ejection fraction

Diabetes & Vascular Disease Research 2015, Vol. 12(4) 234–238 © The Author(s) 2015 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/1479164115579006 dvr.sagepub.com



Carolyn SP Lam

Abstract

Heart failure is now recognized as a progressive disease in which patients transition through the stages of being at risk of heart failure (stage A), to asymptomatic structural heart disease (stage B), to clinical manifestations of heart failure (stage C) and finally end-stage or refractory heart failure (stage D). This review outlines the key role of diabetes mellitus as a stage A risk factor for heart failure with preserved ejection fraction, and asymptomatic diabetic cardiomyopathy, referring to the presence of left ventricular diastolic dysfunction in diabetic patients without coronary artery disease, hypertension or other

Introduction

Beginning in 2001, the American College of Cardiology and the American Heart Association introduced a new staging system for heart failure (HF).¹ Analogous to the staging system of cancer, this system recognizes HF as a progressive disease in which patients transition through the stages of (1) being at high risk of the development of HF (stage A), (2) developing structural heart disease but without signs or symptoms of HF (stage B), (3) manifesting clinical symptoms of HF (stage C) and finally (4) progressing to end-stage or refractory HF (stage D) (Figure 1). Importantly, the HF stages emphasize that there are established risk factors and structural prerequisites for the development of HF and that therapeutic interventions performed even before the appearance of left ventricular (LV) dysfunction or symptoms can reduce the morbidity and mortality of HF. The staging system therefore serves as a reminder to physicians of the importance of early identification of patients at risk of the development of HF, with the ultimate aim of preventing progression to higher stages of disease.

Classically, the HF staging system as applied to HF with reduced ejection fraction (HFrEF or 'systolic HF') would include patients with coronary artery disease in stage A, patients with a previous myocardial infarction and asymptomatic LV systolic dysfunction in stage B, patients with symptoms and signs of HF in association with LV systolic dysfunction [ejection fraction (EF) < 40%] in stage C and end-stage ischaemic dilated cardiomyopathy requiring specialized treatment strategies such as mechanical circulatory support, continuous inotropic infusions, cardiac transplantation or hospice care in stage D. However, it is now known that half of patients with HF have a preserved EF (EF \ge 50%) (HFpEF), with the proportion of HFpEF relative to HFrEF increasing over time, especially in ageing societies where it is projected to become the predominant form of HF.²

Within this framework, this review seeks to outline the key role of diabetes mellitus (DM) as a stage A risk factor for HF with preserved EF (HFpEF), and asymptomatic diabetic cardiomyopathy, referring to the presence of LV diastolic dysfunction in diabetic patients without coronary artery disease, hypertension or other potential aetiologies, as a manifestation of stage B HFpEF at high risk of transitioning to symptomatic stage C HFpEF.

Epidemiology of DM and HFpEF

~+

DM is projected to reach pandemic proportions over the next few decades, with a World Health Organization (WHO)–estimated global prevalence of 330 million in 2025.

causing a vicious cycle where more superoxide is produced instead of NO, thus amplifying oxidative stress and endothelial dysfunction.¹³

In HFpEF, endothelial dysfunction is highly prevalent, correlates with functional status and predicts cardiovascular events in HFpEF.^{14,15} Recently, the vascular endothelial product NT-pro C-type natriuretic peptide was shown to be strongly predictive of outcomes in HFpEF but not HFrEF, further supporting a pathophysiologic role of endothelial dysfunction in HFpEF.¹⁶

Importantly, the consideration of the role of endothelial dysfunction in HFpEF should go beyond that of the peripheral organs) and include careful consideration of the central cardiac endothelium (endothelial cells of the coronary vessels, intramyocardial capillaries and intracardiac endocardium).¹⁷ In fact, the cardiac endothelium, along with the pulmonary vascular endothelium, is the largest endothelial surface of the body, and both cardiac endothelial dysfunction have been shown to contribute to the development of HF.¹⁷

Cardiac (endocardial) endothelial dysfunction results in reduced NO bioavailability to the adjacent cardiomyocytes, leading to reduced NO-mediated activation of soluble guanylate cyclase which generates cGMP (Figure 3). cGMP is an important second messenger that modulates cardiac structure and function via activation of its downstream effectors, including PKG. PKG activation results in attenuation of myocardial hypertrophy, decreased myofilament calcium sensitivity, pro-lusitropy and antiinflammatory effects. Indeed, both low cGMP and low PKG activity have been demonstrated in myocardial biopsies of patients with HFpEF, compared to that of HFrEF or aortic stenosis (pure pressure overload).¹⁸ Furthermore, lower myocardial PKG correlated with larger cardiomyocyte diameter in HFpEF compared to HFrEF,18 and increasing myocardial cGMP, via inhibition of its breakdown by phosphodiesterase-5, reversed cardiomyocyte hypertrophy in a mouse model of HFpEF.¹⁹ The latter highlights the importance of the myocardial NO-cGMP-PKG pathway as a potential therapeutic target, as evidenced by the current therapeutic strategies being tf HF,41 diastolic stiffness irrespective of EF. In diabetic HFpEF, increased LV diastolic stiffness was predominantly due to increased cardiomyocyte resting tension of hypertrophied cardiomyocytes; whereas cardiomyocyte resting tension was similar in diabetic and non-diabetic HFrEF. Of note, cardiomyocyte hypertrophy in the diabetic HFpEF patients was not attributable to increased LV pressure overload, and DM was the specific cause of increased LV diastolic stiffness in the subgroup of diabetic HFpEF patients who did not have arterial hypertension. This subgroup clearly exemplified the unique phenotype of diabetic cardiomyopathy with preserved LV EF and elevated diastolic LV stiffness without LV dilatation, that is, the expected phenotype in stage B of HFpEF. Thus, diabetic cardiomyopathy should not merely be regarded as a condition of LV dilatation and reduced EF ('dilated cardiomyopathy'); instead, its definition should importantly include LV diastolic dysfunction (with preserved EF) as a prominent manifestation.²²

Van Heerebeek et al.²¹ further demonstrated that the increased cardiomyocyte resting tension in diabetic HFpEF was corrected by protein kinase A (PKA), indicating that the high resting tension was due to a phosphorylation deficit of the myofilamentary or cytoskeletal protein of the cardiomyocyte which could therefore be reversed by administration of PKA.^{21,23} An important target of PKA phosphorylation is titin - the giant sarcomeric elastic protein spanning from the Z-disc to the M-line of the cardiomyocyte and serving as a stretch/stress sensor that transmits external forces from the extracellular matrix to the cardiomyocyte skeleton and determines cardiomyocyte resting tension.²⁴ Phosphorylation of the N2B region of titin by PKA has been shown to reduce myofibrillar resting tension in cardiomyocytes isolated from both human and experimental HFpEF.²⁴ Supporting the key role of titin phosphorylation changes in HFpEF, increased expression and lower phosphorylation of the stiff N2B titin isoform have been demonstrated in cardiomyocytes isolated from patients with HFpEF.23 Further supporting titin's role in diabetic HFpEF specifically, resting tension in cardiomyocytes from diabetic HFpEF patients was shown to correlate with opening of the cardiomyocyte Z-discs, which were wider in diabetic compared to non-diabetic HFpEF,²¹ indicating altered elastic properties of the cytoskeletal proteins which pull at the ends of the Z-discs.²⁴

Similar to PKA administration, administration of PKG to cardiomyocytes isolated from patients with HFpEF has also been shown to reduce resting tension.^{18,25} In fact, there was no further reduction in cardiomyocyte resting tension when PKA was administered after PKG, suggesting that PKG and PKA act on the same phosphorylation sites.²⁵ In aggregate with the evidence described above of prominent oxidative stress, vascular inflammation, endothelial dysfunction and reduced NO bioavailability to cardiomyocytes in DM, the NO-cGMP-PKG-titin pathway is likely to be a major determinant of LV diastolic stiffness in diabetic HFpEF patients.

Epidemiological evidence of longitudinal progression from stage B to stage C of HFpEF was provided in 1038 participants of the Framingham Heart Study original cohort, in whom antecedent LV diastolic dysfunction was independently related to future incident HFpEF over an average 11 years of follow-up.26 Specifically in DM, a large community-based cohort of 1760 diabetic patients in Olmsted County, MN, all studied using Doppler echocardiography, was followed for incident HF.²⁷ A strikingly high prevalence of asymptomatic LV diastolic dysfunction (i.e. stage B HFpEF, present in 23%) was found among these community-based diabetic adults. Increasing severity of LV diastolic dysfunction was independently related to increasing risk of subsequent incident HF, with the cumulative probability of the developing HF within 5 years of 36.9% versus 16.8% in diabetic patients with versus without diastolic dysfunction (p < 0.001). Additionally, diabetic patients with diastolic dysfunction had a significantly higher mortality compared to those without diastolic dysfunction.²⁷ Further evidence of the role of DM in the progression to adverse outcomes in stage C HFpEF was recently provided in a sub-study of the RELAX (Phosphodiesterase-5 inhibition to improve clinical status and exercise capacity in HF with preserved EF) study.²⁸ Compared to non-diabetic HFpEF patients, diabetic HFpEF patients had reduced exercise capacity and increased risk of hospitalization, associated with a more severe disease phenotype characterized by greater LV hypertrophy, and elevated circulating markers of oxidative stress, inflammation and fibrosis. Notably, the association of DM with LV diastolic dysfunction is not limited to established or advanced DM, but also exists along the entire spectrum of glucose metabolism from pre-diabetic to non-insulin-treated and insulin-treated DM.29 These findings have important implications for preventive approaches, which are especially critical in HFpEF since there is, to date, still no proven effective treatment for stage C HFpEF once it is established.

Conclusion

The data presented in this review call for healthcare providers involved in the active management of diabetic patients, including generalists, internists, endocrinologists and cardiologists, to recognize the unique phenotype of diabetic cardiomyopathy with preserved LV EF and elevated diastolic LV stiffness without LV dilatation, that is, diabetic cardiomyopathy as a manifestation of stage B of HFpEF. This differs from prior definitions where diabetic cardiomyopathy was regarded as a condition of LV dilatation and reduced EF ('dilated cardiomyopathy'). Importantly, patients with diabetic stage B HFpEF are at risk of further progression to symptomatic stage C HFpEF. Awareness and identification of patients at risk are the first steps towards the ultimate goal of optimal management to prevent or delay progression of HF in patients with DM.

Declaration of conflicting interests

The authors declare that they have no conflicts of interest.

Funding

C.S.P.L. is supported by a Clinician Scientist Award from the National Medical Research Council of Singapore; has received research support from Boston Scientific, Medtronic and Vifor Pharma and has consulted for Novartis, Bayer, Astra Zeneca and Vifor Pharma.

References

- Hunt SA, Baker DW, Chin MH, et al. ACC/AHA Guidelines for the Evaluation and Management of Chronic Heart Failure in the Adult: executive summary – a Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee to Revise the 1995 Guidelines for the Evaluation and Management of Heart Failure): developed in collaboration with the International Society for Heart and Lung Transplantation; Endorsed by the Heart Failure Society of America. *Circulation* 2001; 104: 2996–3007.
- Oktay AA, Rich JD and Shah SJ. The emerging epidemic of heart failure with preserved ejection fraction. *Curr Heart Fail Rep* 2013; 10: 401–410.
- Wild S, Roglic G, Green A, et al. Global prevalence of diabetes: estimates for the year 2000 and projections for 2030. *Diabetes Care* 2004; 27: 1047–1053.
- Bertoni AG, Hundley WG, Massing MW, et al. Heart failure prevalence, incidence, and mortality in the elderly with diabetes. *Diabetes Care* 2004; 27: 699–703.
- Lam CS, Donal E, Kraigher-Krainer E, et al. Epidemiology and clinical course of heart failure with preserved ejection fraction. *Eur J Heart Fail* 2011; 13: 18–28.
- Klapholz M, Maurer M, Lowe AM, et al. Hospitalization for heart failure in the presence of a normal left ventricular ejection fraction: results of the New York Heart Failure Registry. *J Am Coll Cardiol* 2004; 43: 1432–1438.
- Paulus WJ and Tschope C. A novel paradigm for heart failure with preserved ejection fraction: comorbidities drive myocardial dysfunction and remodeling through coronary microvascular endothelial inflammation. *J Am Coll Cardiol* 2013; 62: 263–271.
- Tschope C and Lam CS. Diastolic heart failure: what we still don't know. Looking for new concepts, diagnostic approaches, and the role of comorbidities. *Herz* 2012; 37: 875–879.
- Jay D, Hitomi H and Griendling KK. Oxidative stress and diabetic cardiovascular complications. *Free Radic Biol Med* 2006; 40: 183–192.
- Hare JM and Stamler JS. NO/redox disequilibrium in the failing heart and cardiovascular system. *J Clin Invest* 2005; 115: 509–517.
- 11. Hartge MM, Unger T and Kintscher U. The endothelium and vascular inflammation in diabetes. *Diab Vasc Dis Res* 2007; 4: 84–88.

- 12. Pacher P and Szabo C. Role of peroxynitrite in the pathogenesis of cardiovascular complications of diabetes. *Curr Opin Pharmacol* 2006; 6: 136–141.
- Forstermann U and Munzel T. Endothelial nitric oxide synthase in vascular disease: from marvel to menace. *Circulation* 2006; 113: 1708–1714.
- Borlaug BA, Olson TP, Lam CS, et al. Global cardiovascular reserve dysfunction in heart failure with preserved ejection fraction. *J Am Coll Cardiol* 2010; 56: 845–854.
- Akiyama E, Sugiyama S, Matsuzawa Y, et al. Incremental prognostic significance of peripheral endothelial dysfunction in patients with heart failure with normal left ventricular ejection fraction. *J Am Coll Cardiol* 2012; 60: 1778–1786.
- Lok DJ, Klip IT, Voors AA, et al. Prognostic value of N-terminal pro C-type natriuretic peptide in heart failure patients with preserved and reduced ejection fraction. *Eur J Heart Fail* 2014; 16: 958–966.
- 17. Lam CS and Brutsaert DL. Endothelial dysfunction: a pathophysiologic factor in heart failure with preserved ejection fraction. *J Am Coll Cardiol* 2012; 60: 1787–1789.
- Van Heerebeek L, Hamdani N, Falcão-Pires I, et al. Low myocardial protein kinase G activity in heart failure with preserved ejection fraction. *Circulation* 2012; 126: 830–839.
- Takimoto E, Champion HC, Li M, et al. Chronic inhibition of cyclic GMP phosphodiesterase 5A prevents and reverses cardiac hypertrophy. *Nat Med* 2005; 11: 214–222.
- 20. Komajda M and Lam CS. Heart failure with preserved ejection fraction: a clinical dilemma. *Eur Heart J* 2014; 35: 1022–1032.
- Van Heerebeek L, Hamdani N, Handoko ML, et al. Diastolic stiffness of the failing diabetic heart: importance of fibrosis, advanced glycation end products, and myocyte resting tension. *Circulation* 2008; 117: 43–51.
- 22. Ernande L and Derumeaux G. Diabetic cardiomyopathy: myth or reality? *Arch Cardiovasc Dis* 2012; 105: 218–225.
- Van Heerebeek L, Borbély A, Niessen HW, et al. Myocardial structure and function differ in systolic and diastolic heart failure. *Circulation* 2006; 113: 1966–1973.
- 24. Linke WA. Sense and stretchability: the role of titin and titin-associated proteins in myocardial stress-sensing and mechanical dysfunction. *Cardiovasc Res* 2008; 77: 637–648.
- 25. Hamdani N, Bishu KG, von Frieling-Salewsky M, et al. Deranged myofilament phosphorylation and function in experimental heart failure with preserved ejection fraction. *Cardiovasc Res* 2013; 97: 464–471.
- Lam CS, Lyass A, Kraigher-Krainer E, et al. Cardiac dysfunction and noncardiac dysfunction as precursors of heart failure with reduced and preserved ejection fraction in the community. *Circulation* 2011; 124: 24–30.
- From AM, Scott CG and Chen HH. The development of heart failure in patients with diabetes mellitus and pre-clinical diastolic dysfunction: a population-based study. J Am Coll Cardiol 2010; 55: 300–305.
- Lindman BR, Dávila-Román VG, Mann DL, et al. Cardiovascular phenotype in HFpEF patients with or without diabetes: a RELAX trial ancillary study. *J Am Coll Cardiol* 2014; 64: 541–549.
- 29. Stahrenberg R, Edelmann F, Mende M, et al. Association of glucose metabolism with diastolic function along the diabetic continuum. *Diabetologia* 2010; 53: 1331–1340.